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PROGRAM POLICY LETTER NO. P11-V-10

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SUBJECT: Approval Plate for Caterpillar Model 3306 PCNA Diesel Engine

Scope

This Program Policy Letter (PPL) affects underground coal mine operators using diesel-powered equipment, miners and miners' representatives, and Coal Mine Safety and Health (CMS&H) enforcement personnel.

Purpose

This PPL clarifies that Caterpillar Model 3306 PCNA diesel engines installed in permissible equipment bearing approval plates (7E-B003) are approved for use in permissible equipment even though the approval plates indicate the engines are approved only as Category B (nonpermissible) engines.

Policy

Caterpillar Model 3306 PCNA diesel engines are approved by the Mine Safety and Health Administration (MSHA) under Part 7, Subpart E as both a Category A and B approved engine. Mechanically, there is no difference in the construction and performance of the basic engine. MSHA will permit the use of a Model 3306 PCNA engine that has a Category B approval tag affixed to it to be used in a permissible machine. The gaseous ventilation quantity specified for the Category A approval must be used as required under 75.325(f) through (k) and the Category A gaseous ventilation quantity must be stamped on the permissible machine's approval plate. The ventilation quantity for a Category A, Model 3306 PCNA engine is 9,500 cubic feet per minute.

Background

Part 75.1907(b)(5) states that permissible diesel-powered equipment manufactured on or after November 25, 1999, shall incorporate a power package approved in accordance with Part 7, Subpart F. A Part 7, Subpart F power package must incorporate a Part 7, Subpart E, Category A diesel engine and have a Category A engine approval plate affixed to the engine. The Caterpillar Model 3306 PCNA diesel engines are approved under Part 7, Subpart E as both a Category A and B engine. Some Model 3306 PCNA engines have been installed in permissible machines that have a Category B tag affixed to the engine. Except for the gaseous ventilation rate and particulate index assigned to the engine, there is no mechanical difference between the two engines.

Since the Model 3306 PCNA engine is no longer in production, this tagging issue only affects the current engine inventory. In the past, Caterpillar customers ordered an engine based on an "arrangement number." The arrangement number for the Category A engine includes a water-cooled exhaust manifold. However, many power package manufacturers do not use the Caterpillar water-cooled exhaust manifold for their Subpart F approval. Consequently, a Category B approval tag was affixed to the engine by Caterpillar when customers ordered the Model 3306 PCNA without the water-cooled exhaust manifold.

Authority

The Federal Mine Safety and Health Act of 1977 and 30 C.F.R. §§7.82, 7.90, 7.98, 75.1907, and 75.325.

Internet Availability

This program policy letter may be viewed on the Internet by accessing [MSHA's home page](#) and choosing Compliance Info then "Program Policy Letters."

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